

Policy, Legal and Regulatory Resources

For guidance on what policies you might need and to download any of our 65+ free policies, [visit our policies web page](#).

Table of Contents

Updating Policies.....2

UK Law Checklist4

AI Regulatory Guidance and Resources.....5

Regulatory Guidance.....5

Government Resources.....5

Other Resources.....6

Updating Policies

A non-exhaustive checklist of common policies that may need updating with notes to help you. We cannot afford lawyers, so the non-exhaustive checklist below is not professional opinion, which you must seek if you need it.

Policy	AI Relevance / Explanatory Notes
Data Protection & Privacy	Include compliance with Data Protection Act and ICO guidance on AI and data protection. Address how AI systems process personal data, retention and security, consent, and transparency.
Equality, Diversity & Inclusion	Prevent algorithmic bias and discrimination in AI systems. Ensure fairness and inclusivity in AI-driven decisions.
Complaints	Add procedures for handling complaints about AI-generated decisions or content, including contestability and redress.
Safeguarding	Cover risks from AI misuse (e.g., deepfakes, scams) that could harm vulnerable beneficiaries or staff.
Fundraising	Reflect Fundraising Regulator guidance on AI use. Human review of AI-generated fundraising content, including AI bid writing. Fundraising bot safeguards for vulnerable people.
HR & Recruitment	Address AI use in recruitment (e.g., automated screening) and ensure compliance with equality laws. Include transparency and fairness in AI-assisted hiring.
Social Media & Communications	Add rules for AI-generated content, ensuring it is clearly identified and ethically used. Safeguards to mitigate deepfake use.

Risk Management	Integrate AI risk analysis, including bias, misinformation, cybersecurity threats, and environmental impact.
Governance	Define board oversight for AI decisions and annual review of AI performance and ethics.
Imagery Ethics	Responsible use of AI-generated images, avoiding misrepresentation and ensuring cultural sensitivity.
Financial Controls	Ensure AI tools in finance are monitored and audited for accuracy and compliance. Scams, including deepfake.
Grant Making	Transparency and fairness in AI-assisted decisions, with human oversight. Guidance on use of AI in applications.
Procurement	Ensure ethical sourcing of AI tools and services, compliance with charity procurement rules, and vendor transparency.
IT Use	Define acceptable use of AI systems, security protocols, and monitoring to prevent misuse and ensure compliance.
Consent to Use Imagery	Extend consent to cover any potential sharing/input with internal or external AI systems. AI will not be used to create content using real people.

UK Law Checklist

The non-exhaustive checklist below is not professional opinion, which you must seek if you need it. It is an informal list of the most common laws that may apply to your organisation and possible areas you may wish to consider.

- **Data Protection Act / UK GDPR:** Misuse of personal data, lack of consent, unclear automated decisions, retention and security, accountability, fairness, transparency. This includes the ICO [guidance on AI and data protection](#).
- **Online Safety Act:** Harmful, illegal or inappropriate content, especially affecting children.
- **Equality Act:** Bias, discrimination or unfair treatment in AI outputs or decisions.
- **Human Rights Act:** Loss of privacy, dignity, freedom of expression or fair treatment.
- **Copyright, Designs and Patents Act:** Using protected text, images or data without permission.
- **Safeguarding law and guidance:** Risk of harm to children or adults at risk through AI use or content.
- **Consumer protection law (where relevant):** Misleading information or lack of transparency about AI use.
- **Duty of care (common law):** Failing to take reasonable steps to prevent foreseeable harm.

AI Regulatory Guidance and Resources

As yet, there appear to be only limited regulatory guidance on the use of AI, but we anticipate this will change in due course. We've listed below, the most relevant regulatory guidance we are aware of at this time, plus other resources published by the Government and its agencies, and also other organisations.

Regulatory Guidance

Gov.UK: [Implementing the UK's AI regulatory principles](#): initial guidance for regulators.

Fundraising Regulator: [AI Guidance \(Dec 25\)](#).

ICO (data protection).

- [Guidance on AI and data protection](#).
- [AI and data protection risk toolkit](#).
- [Explaining decisions made by AI](#).
- [AI and data protection risk toolkit](#).

Advertising Standards Authority: [Disclosure of AI in Advertising \(May 25\)](#).

Government Resources

- NHS Confederation: [AI in healthcare: navigating the noise](#) - Sep 24.
- DfSI&T - [Responsible AI Toolkit](#) - 25 Mar 24.
- ICO - [Tech Horizons Report](#) - Feb 24.
- DfSI&T - [Introduction to AI Assurance](#) - Feb 24.
- UK Government - [Generative AI Framework for HMG](#) - 18 Jan 24.
- Department for Education - [Generative AI in education](#) - 24 Jan 24.
- UK Government - [Frontier AI: capabilities and risks](#) – discussion paper. Published 25 Oct 23.
- UK Government Office for Science - [Future Risks of Frontier AI](#). Published Oct 23.
- UK Government - [CDEI portfolio of AI assurance techniques](#). Published Jun 23.

Other Resources

- Queen Mary, University of London - [GenAI and the Creative Workforce](#). Jun 25.
- Microsoft Responsible AI.
- KPMG - [AI and copyright](#) – what is permitted when using LLMs? - 18 Jan 24.
- Fidelity Charitable: [Balancing innovation and trust: The role of AI in philanthropy's future](#).
- ML Commons - [AI Luminate Benchmark for General-purpose Chat Models](#).
- AI Standards Hub.
- Encrypt AI - [LLM Safety Leader Board](#).
- ICO and The Alan Turing Institute – [Explaining decisions made with AI](#).



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